

## Investor Details

Name

<i>Given name &amp; Surname or Trust or Company &amp; ACN</i>	<i>Date of Birth</i>	<i>Tax File Number – We can obtain this later if you wish</i>
<i>Applicant 2 – (If Partnership or Joint Applicant)</i>	<i>Date of Birth</i>	<i>Tax File Number – We can obtain this later if you wish</i>

## Contact Details

Postal Address

<i>Primary Contact Name</i>			<input checked="" type="checkbox"/> <i>Tick preferred contact option</i>
<i>Unit Number/Street Number</i>	<i>Street Name</i>		<input type="checkbox"/> <i>Home Telephone</i>
<i>Suburb</i>	<i>State</i>	<i>Postcode</i>	<input type="checkbox"/> <i>Work Telephone</i>
<i>Email</i>			<input type="checkbox"/> <i>Fax</i>
			<input type="checkbox"/> <i>Mobile</i>

## Investment Amount

### Theta Capital Protected Fund

Please make your cheque payable to:

**Berdale Securities Ltd Trust – Theta Capital Protected Fund**

For electronic deposits please refer to page 42.

\$

### Theta Capital Growth Fund

Please make your cheque payable to:

**Berdale Securities Ltd Trust – Theta Capital Growth Fund**

For electronic deposits please refer to page 42.

\$

## Direct Credit Option

<i>Name of Institution</i>	<i>BSB</i>	<i>Account Number</i>	<i>Account Name</i>
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If you wish to access your monies you can nominate a bank account for us to credit instead of a cheque being posted to you.

## Referral

If you have been referred to Theta Capital Investments by a Financial Advisor please fill out the following information:

<i>Company Name</i>	<i>Advisor Stamp (if applicable)</i>
<i>Advisor Name</i>	

## Previous Investor

If you have previously invested in either the Theta Capital Growth or the Theta Capital Protected fund please fill out the following information.

*Investor Name*

*Investor Number*

## Declaration and Signature

I have read a complete and unedited copy of the attached Product Disclosure Document and agree to be bound by the provisions of the Constitution for the Fund I/we invest in. I agree and understand the terms used in the application form have the same meaning as in the Constitution. If signed under power of attorney I declare that I have no knowledge of the revocation of that power of attorney.

*Signature of Investor/Director/Attorney*

*Date*

*Company Seal (if applicable)*

*Signature of Investor/Director/Attorney*

*Date*

**Need Assistance? Call (02) 9241 7959**



## AUSTRALIAN MUTUAL HOLDINGS

Fiduciary Services

# Theta Capital Funds

## Required ID and Additional Documents Guide

Section A: All Applicants must fill in either Option 1 or Option 2  
(Whichever is applicable)

### Identification for Individuals

#### Option one: Green ID Verification for Australia and New Zealand Residents

(This verification is completed via phone)

You will need to provide three forms of the following ID with at least one from each section.

##### PART 1 – Please choose at least one from this section

Tick	Select at least one
<input type="checkbox"/>	Yes, I am in the Phone Book
<input type="checkbox"/>	Yes, I am on the Australian Electoral Roll (AEC)
<input type="checkbox"/>	Yes, I am in the New Zealand Phone Book
<input type="checkbox"/>	Yes, I am on the New Zealand Electoral Roll

##### PART 2 – Please choose at least one from this section

Tick	Select at least one
<input type="checkbox"/>	Yes, I have an Australian Passport
<input type="checkbox"/>	Yes, I have a Medicare Card
<input type="checkbox"/>	Yes, I have a Tax File Number
<input type="checkbox"/>	Yes, I have an Employment Visa (Foreign Passport)
<input type="checkbox"/>	Yes, I have a Driver's Licence issued by QLD, ACT or VIC. (At this time only these states can be accepted.)

If you are unable to be Green ID Verified you will need to either send in scans of the ID or Complete Option Two.

## Option Two: Certified Identification

**PART 1** – Acceptable Primary ID Documents *please select one from this section*

Tick	Select at least one
<input type="checkbox"/>	Australian/State Territory Driver's Licence Containing a photograph of the person
<input type="checkbox"/>	Australia Passport (A passport that has expired within the preceding 2 years is acceptable)
<input type="checkbox"/>	Card issued under a State or Territory for Purpose of proving a person's age containing a photograph of the person
<input type="checkbox"/>	Foreign Passport or similar travel document containing a photograph and the signature of the person

**PART 2** – Acceptable Secondary ID Documents *should only be completed if the individual does not own a document from Part 1 (Please see Part 3 for acceptable Foreign ID Documents)*

Tick	Select at least one
<input type="checkbox"/>	Australian Birth Certificate
<input type="checkbox"/>	Australian Citizenship Certificate
<input type="checkbox"/>	Pension Card issued by Centrelink
<input type="checkbox"/>	Health Card issued by Centrelink
Tick	And ONE valid option from this section
<input type="checkbox"/>	A Document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
<input type="checkbox"/>	A document issued by the Australia Tax Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual) which contains the individual's name and residential address. <i>Block out the TFN before scanning, copying or storing this document</i>
<input type="checkbox"/>	A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)

**PART 3** – Acceptable Foreign ID Documents

Tick	Select at least one
<input type="checkbox"/>	Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth*
<input type="checkbox"/>	National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

\*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator

## Section B: Companies

### For Australian Companies

There are no additional requirements for a company as Australian Mutual Holdings will organise the Company Extracts at no cost to you.

### For Foreign Companies

For Foreign Entities, we require the following certified documents:

Tick	Require all Pages
<input type="checkbox"/>	Certificate of Incorporation or Company Registration Certificate
<input type="checkbox"/>	Company Constitution or Articles of Incorporation

## Section C: Trusts

### For Australian Trusts

We require a certified copy of the trust deed's following pages:

Tick	Require all Pages
<input type="checkbox"/>	Title Page
<input type="checkbox"/>	Schedule Page Where it states the beneficiaries, trustees and the date the trust was set up
<input type="checkbox"/>	Execution Page

### For Foreign Trusts

If the Trustee is a Company we also require you to complete section B.

We require a certified copy of the trust deed's following pages:

Tick	Require all Pages
<input type="checkbox"/>	Title Page
<input type="checkbox"/>	Schedule Page Where it states the beneficiaries, trustees and the date the trust was set up
<input type="checkbox"/>	Execution Page

Please complete the above section and send back along with your Application Form.

## Who Can Certify

### Authorised Persons that can certify documents

A person who is enrolled on the roll of the supreme court of a state or territory, or the high court of Australia as a legal practitioner	A judge of a court
A Magistrate	A Chief Executive Officer of a Commonwealth Court
A Registrar or deputy registrar of a court	A Justice of the Peace
A notary public (for purposes of statutory Declaration Regulations 1993)	An Australian Police Officer
An agent of Australia Post who is in charge of an office supplying postal services to the public	A Permanent Employee of Australia Post with 2 or more years of continuous service who is employed in an office supplying postal services to the public
An officer with 2 or more years of services with one or more financial institutions (for purposes of statutory Declaration Regulations 1993)	A finance company officer with 2 or more continuous years of service with one or more finance companies (for purposes of statutory Declaration Regulations 1993)
An officer with, or authorised representative of, a holder of an Australian Financial Services License, having 2 or more continuous years of service with one or more licensees	A Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years continuous membership
An Australian consular officer or an Australian Diplomatic officer (within the meaning of the Consular Fees Act 1955)	