

Investor Details

Name

<i>Given name & Surname or Trust or Company & ACN</i>	<i>Date of Birth</i>	<i>Tax File Number – We can obtain this later if you wish</i>
<i>Applicant 2 – (If Partnership or Joint Applicant)</i>	<i>Date of Birth</i>	<i>Tax File Number – We can obtain this later if you wish</i>

Contact Details

Postal Address

<i>Primary Contact Name</i>		<input checked="" type="checkbox"/> <i>Tick preferred contact option</i>
<i>Unit Number/Street Number</i>	<i>Street Name</i>	<input type="checkbox"/> <i>Home Telephone</i>
<i>Suburb</i>	<i>State</i>	<input type="checkbox"/> <i>Work Telephone</i>
<i>Postcode</i>		<input type="checkbox"/> <i>Fax</i>
<i>Email</i>		<input type="checkbox"/> <i>Mobile</i>

Investment Amount

\$

Please make your cheque payable to:

Australian Executor Trustees Limited ACF Theta Capital Growth Fund

For electronic deposits please see page 3.

Direct Credit Option

<i>Name of Institution</i>	<i>BSB</i>	<i>Account Number</i>	<i>Account Name</i>
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If you wish to access your monies you can nominate a bank account for us to credit instead of a cheque being posted to you.

Referral

If you have been referred to Theta Capital Investments by a Financial Advisor please fill out the following information:

<i>Company Name</i>	<i>Advisor Stamp (if applicable)</i>
<i>Advisor Name</i>	

Previous Investor

If you have previously invested in the Theta Capital Growth Fund please fill out the following information.

Investor Name
Investor Number

Declaration and Signature

I have read a complete and unedited copy of the attached Product Disclosure Document and agree to be bound by the provisions of the Constitution for the Fund I/we invest in. I agree and understand the terms used in the application form have the same meaning as in the Constitution. If signed under power of attorney I declare that I have no knowledge of the revocation of that power of attorney.

Signature of Investor/Director/Attorney
Date
Company Seal (if applicable)
Signature of Investor/Director/Attorney
Date

Need Assistance? Call (02) 9241 7959

Application Process

Instructions for subscribing for Units in the Fund are set out on this page.

(A) Investors

Applications may be made either by an individual, partnership, trust or company. If you are investing as trustee on behalf of a superannuation fund or trust please make the investment in the name of the trustee ATF (as trustee for) the "name of your entity", eg. John Smith as trustee for The Smith Family Trust. or John Smith as trustee for The Smith Superannuation Fund.

(B) Tax File Number

Please provide us with the tax file number where appropriate and date of birth for individual Investors. You do not have to give us your tax file number but if you do not, we may have to deduct tax from any income paid to you at the top marginal rate.

(C) Postal

Please provide us with your postal address for all correspondence and notifications.

(D) Investment Amount

The minimum Investment Amount is \$10,000.

(E) Bank Details

Please provide us with your banking details for any withdrawals.

(F) Declarations

Please carefully read each declaration to be made by the Investor.

(G) Signatures

Where the investment is to be held in joint names all parties must sign. Companies are to execute under a common seal or by two directors without the common seal.

(H) Where to Send the Application

Mail your signed, completed Application Form along with a cheque made payable to Australian Executor Trustees Limited ACF Theta Capital Growth Fund:

Australian Mutual Holdings Limited
Attn: New Investments
Governor Phillip Tower
Level 40, 1 Farrer Place
Sydney NSW 2000.

If you wish to transfer or deposit monies directly please use the following bank account details:

Theta Capital Growth Fund

Bank – National Australian Bank

Branch – BSB 082 067

Account Number – 192099796

Account Name – Australian Executor Trustees Limited
ACF Theta Capital Growth Fund

Reference – Name of your investment

Wiring instructions for Foreign or Overseas Investors

If you wish to transfer or deposit monies from overseas, please find banking details that may be required below:

Bank: National Australian Bank

Address: Lower Ground Level, 101-103 Pitt Street,
Sydney NSW 2000, Australia

SWIFT: NATAAU3302S

Beneficiary: Australian Executor Trustees Limited ACF
Theta Capital Growth Fund

Address: Level 22, 207 Kent Street,
Sydney NSW 2000, Australia

Account No: 082-067-192099796

If possible please provide a Reference of Account Name.



AUSTRALIAN MUTUAL HOLDINGS

Fiduciary Services

Theta Capital Growth Fund

Required ID and Additional Documents Guide

Section A: All Applicants must fill in either Option 1 or Option 2
(Whichever is applicable)

Identification for Individuals

Option one: Green ID Verification for Australia and New Zealand Residents

(This verification is completed via phone)

You will need to provide three forms of the following ID with at least one from each section.

PART 1 – Please choose at least one from this section

Tick	Select at least one
<input type="checkbox"/>	Yes, I am in the Australian Phone Book
<input type="checkbox"/>	Yes, I am on the Australian Electoral Roll (AEC)
<input type="checkbox"/>	Yes, I am in the New Zealand Phone Book
<input type="checkbox"/>	Yes, I am on the New Zealand Electoral Roll

PART 2 – Please choose at least one from this section

Tick	Select at least one
<input type="checkbox"/>	Yes, I have an Australian Passport
<input type="checkbox"/>	Yes, I have a Medicare Card
<input type="checkbox"/>	Yes, I have a Tax File Number
<input type="checkbox"/>	Yes, I have an Employment Visa (Foreign Passport)
<input type="checkbox"/>	Yes, I have a Driver's Licence issued by QLD, ACT or VIC. (At this time only these states can be accepted.)

If you are unable to be Green ID Verified you will need to either send in scans of the ID or complete Option Two.

Option Two: Certified Identification

PART 1 – Acceptable Primary ID Documents *please select one from this section*

Tick	Select at least one
<input type="checkbox"/>	Australian/State Territory Driver's Licence Containing a photograph of the person
<input type="checkbox"/>	Australia Passport (A passport that has expired within the preceding 2 years is acceptable)
<input type="checkbox"/>	Card issued under a State or Territory for Purpose of proving a person's age containing a photograph of the person
<input type="checkbox"/>	Foreign Passport or similar travel document containing a photograph and the signature of the person

PART 2 – Acceptable Secondary ID Documents *should only be completed if the individual does not own a document from Part 1 (Please see Part 3 for acceptable Foreign ID Documents)*

Tick	Select at least one
<input type="checkbox"/>	Australian Birth Certificate
<input type="checkbox"/>	Australian Citizenship Certificate
<input type="checkbox"/>	Pension Card issued by Centrelink
<input type="checkbox"/>	Health Card issued by Centrelink
Tick	And ONE valid option from this section
<input type="checkbox"/>	A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
<input type="checkbox"/>	A document issued by the Australia Tax Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual) which contains the individual's name and residential address. <i>Block out the TFN before scanning, copying or storing this document</i>
<input type="checkbox"/>	A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)

PART 3 – Acceptable Foreign ID Documents

Tick	Select at least one
<input type="checkbox"/>	Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth*
<input type="checkbox"/>	National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator

Section B: Companies

For Australian Companies

There are no additional requirements for a company as Australian Mutual Holdings will organise the Company Extracts at no cost to you.

For Foreign Companies

For Foreign Entities, we require the following certified documents:

Tick	Require all Pages
<input type="checkbox"/>	Certificate of Incorporation or Company Registration Certificate
<input type="checkbox"/>	Company Constitution or Articles of Incorporation

Section C: Trusts

For Australian Trusts

We require a certified copy of the trust deed's following pages:

Tick	Require all Pages
<input type="checkbox"/>	Title Page
<input type="checkbox"/>	Schedule Page Where it states the beneficiaries, trustees and the date the trust was set up
<input type="checkbox"/>	Execution Page

For Foreign Trusts

If the Trustee is a Company we also require you to complete section B.

We require a certified copy of the trust deed's following pages:

Tick	Require all Pages
<input type="checkbox"/>	Title Page
<input type="checkbox"/>	Schedule Page Where it states the beneficiaries, trustees and the date the trust was set up
<input type="checkbox"/>	Execution Page

Please complete the above section and send back along with your Application Form.

Who Can Certify

Authorised Persons that can certify documents

A person who is enrolled on the roll of the supreme court of a state or territory, or the high court of Australia as a legal practitioner	A judge of a court
A Magistrate	A Chief Executive Officer of a Commonwealth Court
A Registrar or deputy registrar of a court	A Justice of the Peace
A notary public (for purposes of statutory Declaration Regulations 1993)	An Australian Police Officer
An agent of Australia Post who is in charge of an office supplying postal services to the public	A Permanent Employee of Australia Post with 2 or more years of continuous service who is employed in an office supplying postal services to the public
An officer with 2 or more years of services with one or more financial institutions (for purposes of statutory Declaration Regulations 1993)	A finance company officer with 2 or more continuous years of service with one or more finance companies (for purposes of statutory Declaration Regulations 1993)
An officer with, or authorised representative of, a holder of an Australian Financial Services License, having 2 or more continuous years of service with one or more licensees	A Member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years continuous membership
An Australian consular officer or an Australian Diplomatic officer (within the meaning of the Consular Fees Act 1955)	